

**UNITED STATES PATENT APPLICATION**

*of*

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*for a*

**SYSTEM AND METHOD FOR MANAGING TIME-LIMITED LONG-RUNNING  
OPERATIONS IN A DATA STORAGE SYSTEM**

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Disk storage is typically implemented as one or more storage “volumes” that comprise physical storage disks, defining an overall logical arrangement of storage space. Currently available filer implementations can serve a large number of discrete volumes (150 or more, for example). Each volume is associated with its own file system and, for purposes hereof, volume and file system shall generally be used synonymously. The disks within a volume are typically organized as one or more groups of Redundant Array of Independent (or *Inexpensive*) Disks (RAID). RAID implementations enhance the reliability/integrity of data storage through the redundant writing of data “stripes” across a given number of physical disks in the RAID group, and the appropriate caching of parity information with respect to the striped data. In the example of a WAFL file system, a RAID 4 implementation is advantageously employed. This implementation specifically entails the striping of data across a group of disks, and separate parity caching within a selected disk of the RAID group. As described herein, a *volume* typically comprises at least one data disk and one associated parity disk (or possibly data/parity) partitions in a single disk) arranged according to a RAID 4, or equivalent high-reliability, implementation.

In the operation of a disk array, it is fairly common that a disk will fail. A goal of a high performance storage system is to make the mean time to data loss (MTTDL) as long as possible, preferably much longer than the expected service life of the storage system. Data can be lost when one or more storage devices fail, making it impossible to recover data from the device. Typical schemes to avoid loss of data include mirroring, backup and parity protection. Mirroring is an expensive solution in terms of consumption of storage resources, such as hard disk drives. Backup does not protect recently modified data. Parity schemes are common because they provide a redundant encoding of the data that allows for, typically, a single erasure (loss of one disk) with the addition of just one disk drive to the system.

3

referenced above, the disks are typically divided into parity groups, each of which comprises one or more data disks and a parity disk. The disk space is divided into stripes, with each stripe containing one block from each disk. Typically, the blocks of a stripe are at the same location on each disk in the parity group. Within a stripe, all but one block are data blocks and one block is a parity block, computed by the XOR of all the data.

If the parity blocks are all stored on one disk, thereby providing a single disk that contains all (and only) parity information, a RAID-4 implementation is provided. If the parity blocks are contained within different disks in each stripe, usually in a rotating pattern, then the implementation is RAID-5. If one disk fails in the parity group, the contents of that disk can be *reconstructed* on a second "spare" disk or disks by adding all the contents of the remaining data blocks and subtracting the result from the parity block. Since two's complement addition and subtraction over one-bit fields are both equivalent to XOR operations, this reconstruction consists of the XOR of all the surviving data and parity blocks. Similarly, if the parity disk is lost, it can be recomputed in the same way from the surviving data.

Far more likely than a second disk drive failing in a RAID group (before reconstruction has been completed for a previous disk failure) is the possibility that there may be an unknown bad block (media error) on an otherwise intact disk. If the RAID group has no failed disks, the filer can compensate for bad blocks by using parity information to recompute the bad block's original contents, which is then remapped to a "spare" block elsewhere on the disk. However, if a bad block is encountered while the RAID group is in degraded mode (after a disk failure but before reconstruction has completed), then that block's data is irrecoverably lost. To protect against this scenario, filers routinely verify all data stored in the file system using RAID "scrubbing." The scrubbing operation may be scheduled to occur at regular intervals (for example, once per week, early on Sunday morning). However, automatic scrubbing is optional and can be suppressed. During this process, all data blocks are read from RAID groups, which have no failed drives. There are a number (N) of non-degraded RAID groups that are scrubbed *simultaneously* using a series of N working threads. Note that N is typically a predefined number that is configuration-dependent, and often based upon the processing resources available—it is usually

Current storage system implementations may connect hundreds of RAID groups into arrays of more than a terabyte of storage space. Where automatic, scheduled scrubbing (and/or other long-running maintenance functions/processes including defragmentation and surface scan) are applied to such large arrays of disks, they may run the process in excess of an allotted time—be it one evening, one day, or perhaps one weekend. This is true of even the fastest-processing, and most-capable, storage systems. It is common for scrubbing and other long-running processes to impose a significant performance penalty on other ongoing user processes that may be tolerable on a non-work day or at off-peak use times. However, at the start of a workday, when the load imposed by scrubbing on system resources may interfere with disk service (and not be tolerable), it is common to interrupt the scrubbing (or other long-running) process before full completion. As such, a given number of RAID groups or volumes may remain unprocessed.

It is, therefore, an object of this invention to provide a system and method for enabling the time of long-running disk array maintenance processes to be limited, and therefore cause certain disks/volumes to be unprocessed, without systematically reducing

the regularity and frequency in which all disks in the array are checked. This system and method should allow time-limited, long-running processes to be applied to both older disks and newly added disks each time the process is initiated with fairness to all disks. In other words, all disks in the array should experience approximately the same time between checks regardless of where they fall within the array's predetermined order.

## SUMMARY OF THE INVENTION

This invention overcomes the disadvantages of the prior art by providing a system and method for managing a time-limited long-running process that acts upon disks of a disk array based upon a general rule of acting upon each disk in an order based upon the length of time from when it was last acted-upon. Disks having the greatest last acted-upon time value (e.g. were processed the longest-time ago) are queued first by the process for acting-upon in the present run. The greatest last acted-upon time includes disks that have no prior last acted-upon time (e.g. newly added/mounted disks).

According to an illustrative embodiment, a registry key entry is maintained in a registry file that is recognized by the file system. Each registry key entry includes the volume's file system identifier (FSID) and particular RAID group identifier (RGID). These values are also present in a meta-data disk label for each disk in the array. Associated with the RGID of the key entry is also the last acted-upon time for the RAID group. This is set to a positive time number if the process has last acted-upon the group to completion. Alternatively, the last acted-upon time value is set to zero if the RAID group is newly present in the array. Where a prior, uncompleted process has occurred on a volume, the recorded "last stripe" number, indicating the last stripe location upon which the process acted in the uncompleted group. The process, when again initiated, sorts all registry entries in numeric order, going from zero-value entries (newly added/not previously processed), and proceeding the smallest last acted-upon values (longest-ago processed) through the greatest last acted-upon values (most-recently processed). A series of working threads in the filer's operating system are established to process RAID groups of the array "simultaneously" in parallel, starting with the zero entries (in order presented) and continuing through the remaining sorted entries in the sort order. Each time a RAID

group is completely processed, the group's registry key entry is updated to reflect the most-recent last acted-upon time. This group will then become the last to be processed if an interruption occurs immediately thereafter. If processing of any group is interrupted prior to completion, its last acted-upon time value remains unchanged (representing an older time than the present process), and has a non-zero last stripe number appended to it, representing the last stripe completed by the process.

According to an illustrative embodiment, the system and method of this invention can be applied in RAID scrubbing processes. Other long-running processes such as virus-scanning, defragmentation and the like may also operate in accordance with the system and method of this invention. The last acted-upon value may be a timestamp, based upon a "get time" function within the filer operating system that returns absolute elapsed time (in milliseconds, for example) since an absolute base time (e.g. 12:00 GMT on January 1, 1970).

A timer function derived from the internal operating system timer limits the running time of the process. The timer function is adapted to cease if the process fully completes before a user-defined time limit (six hours, for example) elapses. The timer may be overridden by the user or if the process is initiated by the user.

## BRIEF DESCRIPTION OF THE DRAWINGS

The above and further advantages of the invention may be better understood by referring to the following description in conjunction with the accompanying drawings in which like reference numerals indicate identical or functionally similar elements:

Fig. 1 is a schematic block diagram of a network environment including a file server and disk array that may be advantageously used with the present invention;

Fig. 2 is a schematic block diagram of an operating system file system layer that may be advantageously used in connection with the file server of Fig. 1;

Fig. 3 is a schematic graphical representation of an exemplary registry key entry, containing the file system identifier (FSID), RAID group identifier (RGID) and the appropriate process last acted-upon and last stripe acted-upon information according to an embodiment of this invention;



Fig. 4 is a schematic diagram of an exemplary disk including a disk label and associated FSID/RGID tuple according to an embodiment of this invention; and

Fig. 5 is flow diagram of a procedure for performing a time-limited long-running maintenance process upon a group of disks according to an embodiment of this invention.

## DETAILED DESCRIPTION OF AN ILLUSTRATIVE EMBODIMENT

Fig. 1 is a schematic block diagram of an environment 100 that includes a client 110 having one or more applications 112, and interconnected file server 120 that may be advantageously used with the present invention. The file server or "filer" 120 is a special-purpose computer that provides file service relating to the organization of information on storage devices, such as disks 130. It will be understood to those skilled in the art that the inventive technique described herein may apply to any type of special-purpose (e.g., server) or general-purpose computer, including a standalone computer. The filer 120 comprises a processor 122, a memory 124, a network adapter 126 and a storage adapter 128 interconnected by a system bus 125. The filer 120 also includes a storage operating system 200 that implements a file system to logically organize the information as a hierarchical structure of directories and files on the disks. A console or other user interface 129 is provided to control various filer functions, including those implemented according to this invention, and report on the status of filer operations.

In the illustrative embodiment, the memory 124 comprises storage locations that are addressable by the processor and adapters for storing software program code. A portion of the memory may be further organized as a "buffer cache" 135 for storing data structures that are passed between disks and the network during normal runtime operation. The processor and adapters may, in turn, comprise processing elements and/or logic circuitry configured to execute the software code and manipulate the data structures. The operating system 200, portions of which are typically resident in memory and executed by the processing elements, functionally organizes the filer by, *inter alia*, invoking storage operations in support of a file service implemented by the filer. It will be apparent to those skilled in the art that other processing and memory means, including various com-

The network adapter 126 comprises the mechanical, electrical and signaling circuitry needed to connect the filer 120 to a client 110 over a computer network 140, which may comprise a point-to-point connection or a shared medium, such as a local area network. The client 110 may be a general-purpose computer configured to execute applications 112, such as a database application. Moreover, the client 110 may interact with the filer 120 in accordance with a client/server model of information delivery. That is, the client may request the services of the filer, and the filer may return the results of the services requested by the client, by exchanging packets 150 encapsulating, e.g., the CIFS protocol or NFS protocol format over the network 140.

Notably, the Filer 120 includes an NVRAM 160 that provides fault-tolerant backup of data, enabling the integrity of filer transactions to survive a service interruption based upon a power failure, or other fault. The size of the NVRAM is variable. It is typically sized sufficiently to log a certain time-based chunk of transactions (for example, several seconds worth). The NVRAM is filled, in parallel with the buffer cache, after each client request is completed, but before the result of the request is returned to the requesting client.

9

as described further. The volumes each include one or more RAID groups 136 of disks 130 denoted generally RAID Group 0, RAID Group 1, etc. In a typical implementation, the RAID groups 136 each include independent physical disks 130 including those storing striped data (D) and those storing separate parity (P) for the data, in accordance with the preferred embodiment that employs a RAID 4 configuration. However, other configurations (e.g. RAID 5 having distributed parity across stripes) are also contemplated. In this embodiment, a minimum of one parity disk and one data disk is employed. However, a typical implementation may include three data and one parity disk per RAID group and a multiplicity of RAID groups per volume, as shown.

To facilitate access to the disks 130 on the array 132, the operating system 200 implements a write-anywhere file system that logically organizes the information as a hierarchical structure of directories and files on the disks. Each "on-disk" file may be implemented as a set of disk blocks configured to store information, such as data, whereas the directory may be implemented as a specially formatted file in which other files and directories are stored. As noted above, in the illustrative embodiment described herein, the operating system is preferably the NetApp® Data ONTAP™ operating system available from Network Appliance, Inc., Sunnyvale, California that implements the Write Anywhere File Layout (WAFL™) file system. It is expressly contemplated that any appropriate file system can be used, and as such, where the term "WAFL" is employed, it should be taken broadly to refer to any file system that is otherwise adaptable to the teachings of this invention.

Again to summarize, as used herein, the term "storage operating system" generally refers to the computer-executable code operable on a storage system that implements file system semantics (such as the above-referenced WAFL) and manages data access. In this sense, ONTAP software is an example of such a storage operating system implemented as a microkernel. The storage operating system can also be implemented as an application program operating over a general-purpose operating system, such as UNIX® or Windows NT®, or as a general-purpose operating system with configurable functionality, which is configured for storage applications as described herein.

The organization of the preferred storage operating system for the exemplary filer is now described briefly. However, it is expressly contemplated that the principles of this invention can be implemented using a variety of alternate storage operating system architectures.

5 As shown in Fig. 2, the storage operating system 200 comprises a series of software layers, including a media access layer 210 of network drivers (e.g., an Ethernet driver). The operating system further includes network protocol layers, such as the Internet Protocol (IP) layer 212 and its supporting transport mechanisms, the Transport Control Protocol (TCP) layer 214 and the User Datagram Protocol (UDP) layer 216. A file  
10 system protocol layer provides multi-protocol data access and, to that end, includes support for the CIFS protocol 218, the NFS protocol 220 and the Hypertext Transfer Protocol (HTTP) protocol 222. In addition, the storage operating system 200 includes a disk storage layer 224 that implements a disk storage protocol, such as a RAID protocol, and a disk driver layer 226 that implements a disk access protocol such as, e.g., a Small Computer Systems Interface (SCSI) protocol.  
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Bridging the disk software layers with the network and file system protocol layers is a file system layer 280 of the storage operating system 200. Generally, the layer 280 implements a file system having an on-disk format representation that is block-based using, e.g., 4-kilobyte (KB) data blocks and using inodes to describe the files. In response  
20 to transaction requests, the file system generates operations to load (retrieve) the requested data from volumes 134 if it is not resident "in-core", i.e., in the filer's memory 124. If the information is not in memory, the file system layer 280 indexes into the inode file using the inode number to access an appropriate entry and retrieve a logical volume block number. The file system layer 280 then passes the logical volume block  
25 number to the disk storage (RAID) layer 224, which maps that logical number to a disk block number and sends the latter to an appropriate driver (for example, an encapsulation of SCSI implemented on a fibre channel disk interconnection) of the disk driver layer 226. The disk driver accesses the disk block number from volumes 134 and loads the requested data in memory 124 for processing by the filer 120. Upon completion of the  
30 request, the filer (and storage operating system) returns a reply, e.g., a conventional ac-

knowledge packet defined by the CIFS specification, to the client 110 over the network 140.

It should be noted that the software "path" 250 through the storage operating system layers described above needed to perform data storage access for the client request received at the filer may alternatively be implemented in hardware or a combination of hardware and software. That is, in an alternate embodiment of the invention, the storage access request data path 250 may be implemented as logic circuitry embodied within a field programmable gate array (FPGA) or an application specific integrated circuit (ASIC). This type of hardware implementation can, for some applications, the performance of the file service provided by filer 120 in response to a file system request packet 150 issued by client 110.

Notably, the Filer 120 includes an NVRAM 160 that provides fault-tolerant backup of data, enabling the integrity of filer transactions to survive a service interruption based upon a power failure, or other fault. The size of the NVRAM is variable. It is typically sized sufficiently to log a certain time-based chunk of transactions (for example, several seconds worth). The NVRAM is filled, in parallel with the buffer cache, after each client request is completed, but before the result of the request is returned to the requesting client.

It will be understood to those skilled in the art that the inventive technique described herein may apply to any type of special-purpose (e.g., server) or general-purpose computer, including a standalone computer, embodied as a storage system. To that end, filer 120 can be broadly, and alternatively, referred to as storage system. Moreover, the teachings of this invention can be adapted to a variety of storage system architectures including, but not limited to, a network-attached storage environment, a storage area network and disk assembly directly-attached to a client/host computer. The term "storage system" should, therefore, be taken broadly to include such arrangements.

The system and method for managing a time-limited long-running process performed on a set of disks will now be described in detail. For the purposes of this description, the exemplary long-running process is RAID scrubbing, in which data parity for each stripe is computed, and compared to data parity stored in disk, and erroneous

data parity on disk is rewritten in view of (assumed) correct computed stripe data parity. However, the description of scrubbing is only an example of a variety of long-running processes (such as virus scanning, disk defragmentation, surface scanning, and the like) which can be carried out upon a group of disks, and the term "process," as applied to the disks, should be taken broadly to define any long-running process that may require timed cutoff. Additionally, the use of a RAID disk organization is exemplary, and another disk configuration can be substituted as appropriate.

According to a preferred embodiment, reference is made to a group of functional blocks/components within the operating system 200 that are employed to carry out the advantageous teachings of a preferred embodiment of this invention. Briefly, a timer mechanism 290 is provided. This mechanism derives time values from the system's basic timer, which typically provides an absolute time value from a fixed reference point. In one example, this absolute time value is the number of elapsed milliseconds from 12:00 am GMT on January 1, 1970. However, any reference point and time interval can be employed. The timer mechanism can compare a given time to a later elapsed time therefrom to determine when a preset interval has elapsed—the interval being the desired time in which a maintenance procedure may occur. In one example, this interval is six hours (or 21,600,000 milliseconds) from the designated start time. An appropriate function call (which may be UNIX®-based) is employed to retrieve a time value from the time mechanism.

The storage operating system 200 also provides an automatic initiation process 292 that typically comprises a daemon within the operating system. In the exemplary Data ONTAP operating system, and a variety of other Unix®-based operating systems, this is usually termed the "cron" daemon.

Briefly, the storage operating system 200 also provides a RAID maintenance mechanism 296 that, in this embodiment, is a RAID scrub mechanism for executing a scrubbing procedure as described above. However, this mechanism can be any of a number of long-running processes to be carried out on the disk array or portions thereof according to a time schedule (or a plurality of different long-running processes).

In addition, the storage operating system supports a registry 294 that contains entries with respect to the inventory of last-known attached disks/volumes with respect to the particular filer 120. The registry, as described in detail below, contains information with respect to last acted-upon time for the subject long-running maintenance operation  
5 (if any). In one embodiment, the registry is stored in a specific file on the disk array at an appropriate location or locations with respect to the file system. It is accessed by the storage operating system, and loaded into filer memory when needed. It may comprise, for example, a text file in the filer's root volume having a series of keys that include the volume identifier and RAID group along with the needed state information with respect  
10 to the long running process. This structure is described in further detail below. However, the implementation of the registry is highly variable, as is its storage location. According to an alternate embodiment, it can reside in a non-volatile memory or other storage medium that is accessible when needed.

As also described below, not all volumes currently present in the system may  
15 match the inventory of volumes in the registry. Any differences therebetween are resolved in accordance with the general procedure of Fig. 4, which is discussed in further detail below.

First, reference is made to Fig. 3, which details a typical registry file entry 300 in accordance with an embodiment of this invention. The entry is part of the overall registry  
20 file, which is stored in the disk array at one or more locations that are recognized by the file system. The registry file is read from the appropriate disk(s) into the filer memory for file service operations. The information in the entry 300 includes the associated volume's file system identifier (FSID) 302 and RAID group identifier (RGID) 304. The FSID is a unique identifier for the volume. A given RAID group is uniquely identified  
25 by a *tuple* consisting of the FSID and an RGID.

With reference to the exemplary disk 400 in Fig. 4, note that the unique FSID and RGID tuple (402 and 404, respectively) is also placed in each disk at an appropriate location known as a disk label 406. These labels are stored on-disk in a predetermined location, typically as meta-data outside the disk area 408 reserved for use by the file system.  
30 They are looked-to when assimilation of disks occurs, whereby the disks are sorted into

RAID groups and, whence, into volumes. Since RAID groups are not separated from their volumes, the particular RAID group is never confused with any other, based upon its tuple. As such the system can reliably refer to any RAID group, at any time, by the tuple.

5 Referring again to Fig. 3, the information stored in the registry key entry includes values for the time last acted-upon 306 (e.g. the time in which a scrub or other subject operation was performed on the RAID group), and the last stripe 308 in the particular RAID group to be last acted-upon (e.g. last stripe scrubbed). As noted, this information can be stored as a *key* in the registry having an appropriate name prefix such as  
10 *state.raid.scrub*, so as to identify the appropriate data structures relating to scrub operations on RAID groups (or other long-running operations).

Reference is now made to Fig. 5, which details a procedure 500 for performing a long-running maintenance (or other) process under a time constraint in accordance with an embodiment of this invention. First, the automatic initiator, instructs the long-running  
15 maintenance procedure (scrubbing in this example) to begin, and sets the timer using an appropriate function call (step 502). The completion time for the maintenance procedure is computed as part of the timer setting process. A default time can be used, such as six hours. Alternatively, a different automatic completion time can be input by the operator through the interface. An appropriate function call is provided to set the time.

20 In one embodiment, if a maintenance process is requested by the operator out of the normal automatic schedule (which does not typically involve the automatic initiator), then no time limit is set—as it is assumed that the operator wishes the maintenance procedure to run to completion. However, it is contemplated that the operator can set a time limit manually (or by default) when using manual initiation of the maintenance process.  
25 If so, then teachings of this invention may apply. In addition, the teachings of this invention may apply when an operator manually intervenes to terminate the ongoing maintenance process through any form of interrupt command or action.

The inventory of RAID groups resident in the registry/database is then examined by the procedure 500 in accordance with step 504. This entails a “registry walk” in  
30 which all keys with the desired maintenance function prefix (described above) are lo-



Once the maintenance queue is established, the maintenance procedure proceeds in accordance with step 513. Each last stripe entry value is noted and assigned the current stripe value. That last stripe value is zero for newly added RAID groups or RAID groups that were completely processed in the last run. That value is a positive value where the process was interrupted in the last run upon the respective group, and the posi-

20 The process on a given group continues, with the procedure 500 awaiting a time-  
out. If no timeout is yet reached (decision step 515) and the maintenance on the RAID  
group is not yet complete (decision step 516), then the current stripe value is incremented  
by one (step 518). The process then begins work on the *next* stripe within the given  
RAID group (branching to step 514), and steps 515, 516 and 518 repeat until the RAID  
25 group is completely processed.

17

The procedure 500, after resetting a given RAID group's last acted-upon and last stripe entry (in accordance with step 512), then determines whether any other RAID group entries remain in the queue (decision step 522). If and when all RAID groups in a queue are completed, then the procedure ends naturally (step 524, via decision step 522), awaiting the next scheduled run. However, if one or more groups remain to be processed, the procedure 500 branches from decision step 522 back to step 514, to beginning processing of the current stripe of the next group by the next available working thread.

When the registry key entries are rewritten to disk at the appropriate registry file locations, they are updated with the new last acted-upon values and last stripe values as appropriate. This establishes a new benchmark for the maintenance registry upon which the next maintenance operation can occur.

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